QP CODE: 19102080

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Reg No : .....

Name : .....

B.Com. DEGREE (CBCS) EXAMINATION, OCTOBER 2019

Third Semester

CORE COURSE - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to B.Com Model II Computer Applications, B.Com Model II Finance & Taxation, B.Com Model II Logistics Management, B.Com Model II, B.Com Model I Travel & Tourism B.Com Model I Marketing B.Com Model I Computer Applications B.Com Model I Finance & TaxationMarketing, B.Com Model II Travel & Tourism, B.Com Model III Computer Applications, B.Com Model III Office, B.Com Model I Co-operation Management & Secretarial Practice, B.Com Model III Travel & Tourism B.Com Model III Taxation)

2017 Admission Onwards

5BD6465E

Maximum Marks: 80 Time: 3 Hours

Part A

Answer any ten questions.

Each question carries 2 marks.

1. Differentiate between savings and investment.
2. What is meant by 'Small Finance Banks'?
3. Make a note on commercial paper.
4. List out the intermediaries in the new issue market.
5. What is meant by qualified institutional buyers?
6. What do you mean by 'Listing of Securities'?
7. What is meant by 'circuit breaker' in a stock market?
8. What is meant by rematerialisation?
9. What do you mean by Exchange Traded Mutual Fund?
10. "The growth of mutual fund investment solely depends on the capabilities of the fund managers". Evaluate the statement.
11. What is meant by price discovery?
12. Explain FRA?

(10×2=20)

Part B

Answer any six questions.

Each question carries 5 marks.

13. "Without a sound financial system economic progress will be impossible". Do you agree? Elucidate.
14. Discuss the rationale behind the setting up of SEBI.
15. What are the features of New Issue Market?
16. Explain the concept of 'marking to market' in connection with Futures contracts.
17. What are the different classification of Institutional investors?
18. Define the following terms, in connection with mutual funds:
    a) Sponsor
    b) Trustee
    c) Assest management companies
19. What is Association of Mutual Funds in India? State its objectives.
20. What are the different types of Futures?
21. Define Swaps. What are the features of swaps contracts?

(6×5=30)

Part C

Answer any two questions.

Each question carries 15 marks.

22. What do you mean by 'Capital market'? Give an overview of various capital market instruments in India.
23. Describe various methods of New Issue.
24. What is Private Equity? Explain its methodology. How it is different from Venture Capital?
25. "Net Asset Value (NAV) is the basis for assessing the return from mutual fund investment". Crtically analyse the statement. Explain how NAV is calculated.

(2×15=30)